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|   |                               |                      |  |
|---|-------------------------------|----------------------|--|
| <b>DECLARATION FOR UTILITY OR<br/>DESIGN<br/>PATENT APPLICATION<br/>(37 CFR 1.63)</b> | <b>Attorney Docket Number</b> | CASE 245             |  |
|   | <b>First Named Inventor</b>   | YEN-KUEN SHIAU       |  |
|   | <b>COMPLETE IF KNOWN</b>      |                      |  |
|   | <b>Application Number</b>     | /                    |  |
|   | <b>Filing Date</b>            |                      |  |
|   | <b>Group Art Unit</b>         |                      |  |
|   |                               | <b>Examiner Name</b> |  |

☒ Declaration Submitted with Initial Filing
 OR
☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A METHOD FOR AGING WINE

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)  as United States Application Number or PCT InternationalApplication Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application<br>Number(s) | Country | Foreign Filing Date<br>(MM/DD/YYYY) | Priority<br>Not Claimed  | Certified Copy Attached? |                          |
|--|---------|-------------------------------------|--------------------------|--------------------------|--------------------------|
|  |         |                                     |                          | YES                      | NO                       |
|  |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|  |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|  |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|  |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number or Bar Code Label  OR ☒ Correspondence address below

ALICE L. CHEN  
Name

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Address

SILVER SPRING MD 20906  
City State ZIP

U.S.A. (301) 460-8921  
Country Telephone Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

YEN-KUEN  
Given Name (first and middle [if any])

SHIAU  
Family Name or Surname

Yen-Kuen Shiau  
Inventor Signature Date 07-09-01

TAIPEI TAIWAN U.S.  
Residence: City State Country Citizenship

2F No.7, Lane 80, Ming Shen E. Road Section 4  
Mailing Address

Taipei State ZIP Country Taiwan

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

CHUNG-HSUN  
Given Name (first and middle [if any])

WU  
Family Name or Surname

CHUNG-HSUN Wu  
Inventor Signature Date 07-09-01

TAO YUAN TAIWAN TAIWAN  
Residence: City State Country Citizenship

No. 208, Da-Hsin 10th Street, Hsin-Chuang Tsuen  
Mailing Address

Tao Yuan State ZIP Country Taiwan

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → +

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

|                        |                         |
|------------------------|-------------------------|
| Application Number     |                         |
| Filing Date            |                         |
| First Named Inventor   | Yen-Kuen Shiau          |
| Title                  | A Method for Aging Wine |
| Group Art Unit         |                         |
| Examiner Name          |                         |
| Attorney Docket Number | Case 245                |

I hereby appoint:

☐ Practitioners at Customer Number  →

Place Customer  
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☒ Practitioner(s) named below:

| Name          | Registration Number |
|---------------|---------------------|
| Alice L. Chen | 26,852              |
|               |                     |
|               |                     |
|               |                     |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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Individual Name

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Silver Spring

State

MD

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20906

Country

USA

Telephone

(301) 460-8921

Fax

I am the:

☒ Applicant/Inventor.

☐

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

YEN-KUEN SHIAU

Signature

Yen-Kuen Shiau

Date

July 10, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of 2 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/81 (02-01)

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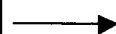
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|---------------|---------------------|
| Alice L. Chen | 36,852              |
|               |                     |
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| City                        | Silver Spring  | State | MD | Zip | 20906 |
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| Telephone                   | (301) 460-8921 | Fax   |    |     |       |

I am the:

☒ Applicant/Inventor.

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

|           |               |
|-----------|---------------|
| Name      | CHUNG HSUN WU |
| Signature | CHUNG HSUN WU |
| Date      | July 10, 2001 |

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